

***LICENSING REQUIREMENTS FOR PERSONS INVOLVED
WITH RISK RETENTION GROUPS OR PURCHASING GROUPS***

RRG FUNCTION	Performed by	License Required	Authority Code
1. sells insurance to new members	Executive officers, travelling salaried employees or members of the group, who do not receive commissions or bonuses	NONE	N/A
2. sells insurance to new members	Other than executive officers, travelling salaried employees or members of the group who do not receive commissions or bonuses (e.g. administrator or sub-administrator)	Broker (1)	Casualty
3. markets out program to others who in turn sell to new members	Managing general agent, administrator or sub-administrator	Broker (1)	Casualty
4. persons selling for a managing general agent	-	Broker (1)	Casualty
5(a) claim handling	Executive officers, travelling salaried employees or members of the group who do not receive commissions or bonuses	None	N/A
5(b) claim handling	Other than executive officers, travelling salaried employees or members of the group who do not receive commissioners or bonuses (e.g. administrators, sub-administrators, or independent adjusters)	Casualty adjuster	Casualty
(1) Note if the insurance company or the risk retention group is licensed (i.e. not just registered) in the State of Connecticut, then an agent's license can be used in lieu of a broker's license. Authority is casualty.			

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(CONTINUED)

Purchasing Group: Function	Performed By	License required	Authority Code
1. purchases insurance from an insurance co. for its members	Executive officers, travelling salaried employees or members of the group, who do not receive commissions or bonuses	None	N/A
2. sells insurance to new members	Executive officers, travelling salaried employees or members of the group who do not receive commissions or bonuses (e.g. administrator or sub-administrator)	None	N/A
3. sells insurance to new members	Other than executive officers, travelling salaried employees or members of the group who do not receive commissions or bonuses (e.g. administrator or sub-administrator)	Broker (1)	Casualty
4. markets out program to others who in turn sell to new members	Managing general agent, administrator or sub-administrator	Broker (1)	Casualty
5. persons selling for a managing general agent		Broker (1)	Casualty
6 (a) claim handling	Executive officers, travelling salaried employees or members of the group, who do not receive commissions or bonuses	None	N/A
(b) claim handling	Other than executive officers, travelling salaried employees or members of the group, who do not receive commissions or bonuses (e.g. administrators, sub-administrators or independent adjusters)	Casualty adjuster	Casualty

Note: If the insurance company or the risk retention group is licensed (i.e. not just registered) in the State of Connecticut, then an agent's license can be used in lieu of a broker's license. Authority is casualty.